



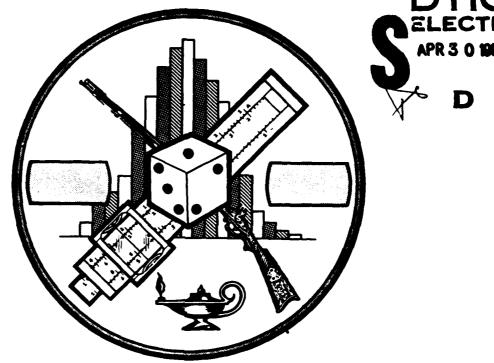
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**ACN 70876 G3 ANALYSIS** 

**VOLUME I: MAIN REPORT** 



# **TECHNICAL REPORT CAORA/TR-13/85**

**US ARMY COMBINED ARMS OPERATIONS RESEARCH ACTIVITY** STUDIES AND ANALYSIS DIRECTORATE FORT LEAVENWORTH, KANSAS

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Combined Arms Operations Research Activity (CAORA)
Studies and Analysis Directorate
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G3 ANALYSIS

VOLUME I: MAIN REPORT

bу

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## ABSTRACT

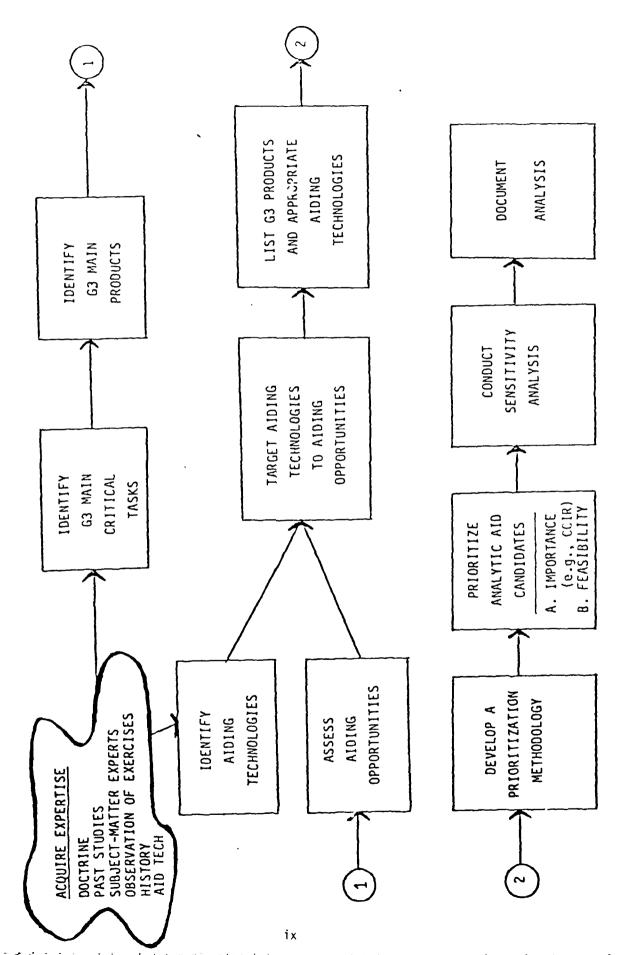
This report documents an analysis of the G3 section of U.S. Army corps and division main command posts (G3 Main). The G3 analysis, performed by the Combined Arms Operations Research Activity, identified and prioritized analytic aiding opportunities to support the G3 through the use of computer applications. The analysis and assessment process was based on the near-term (five-year) automated environment of main CPs and current U.S. Army doctrine. A structured functional analysis was performed to identify specific G3 Main tasks and products and then to assess opportunities to aid G3 performance. A prioritization methodology was refined and exercised to develop a recommended priority to conduct research and to develop analytic aids.

## EXECUTIVE DUMITARY

#### 1. INTRODUCTION.

- a. Purpose. The purpose of this report is to document an analysis of the G3 section of U.S. Army corps and division main command posts (G3 Main). The purpose of the G3 analysis was to identify opportunities for aiding the performance of the G3 during tactical operations through the use of computer applications. The G3 analysis was performed by the Combined Arms Operations Research Activity (CAORA) during the period January July 1985. The G3 analysis was a substudy of the Combined Arms Center (CAC) Command and Staff Decision Aids Project. The G3 analysis was performed to assist the Combined Arms Combat Developments Activity (CACDA) to refine requirements for software applications on tactical automated systems.
- performed to assist CACDA by answering the following questions:
- (1) What are the opportunities for aiding the performance of the G3 during tactical operations through the use of computer applications?
- (2) What aiding opportunities require analytic techniques which transform tactical data into meaningful decision information (analytic aids)?
- (3) What criteria should be applied to prioritize analytic aid development?
- (4) what is the recommended development priority for G3 analytic aiding opportunities?
- c. Background. The CAC Command and Staff Decision Aids Project was established in response to a February 1984 directive from Commander, Training and Doctrine Command (TRADOC), which tasked the Combined Arms Center "...to initiate an effort leading to the use of advanced technology systems to help the field commander and his staff in deciding on a course of action in critical battlefield situations..." and to "...describe additional work to be done to develop means by which commanders can use computers to improve decision making." Project guidance called for a constrained effort initially to identify ongoing efforts and to "...determine gains which might be realized by increasing the effort." The Command, Control, Communications, and Intelligence Directorate (C3I), CACDA, was designated as the CAC lead for the Command and Staff Decision Aids Project. C3I, CACDA, requested CAORA support in a constrained project to develop a prototype application and to analyze opportunities for expanded application development in support of evolving automated battlefield control systems. The G3 analysis documents identified opportunities for application development in support of the G3 Main. Concurrently, CAORA developed an Integrated Unit Movement Planning Aid (MOVEPLAN) as a "proof of principle" prototype to refine the application development process. The MOVEPLAN prototype will provide a near-term capability to field users and a validated software requirement to the materiel developer.

- opportunities for aiding the performance of the G3 during tactical operations was a structured functional analysis of the G3 Main. The structured functional analysis of the G3 Main. The structured functional analysis, depicted in figure 1-E, focused on the doctrinal G3 Main tasks and products to develop qualitative assessments of aiding opportunities. The analysts recognized that the specific manner of task performance and the forms of products may vary from command to command, but that underlying opportunities for aiding performance have potential for transfer across commands.
- e. Report organization. This report is organized in two volumes. Volume I provides an executive summary and a main report which reflect analysis objectives, analysis methodology, assumptions, analysis highlights, conclusions, and recommendations. Volume I is designed as a ready reference for stand-alone use. Volume II provides additional technical information and functional descriptions which supported the analysis. Appropriate lists, figures, and tables are included in the main report to clarify the analysis methodology, analysis, and conclusions.
- 2. OBJECTIVES. The following objectives were established to accomplish the G3 analysis:
  - a. Identify the G3 Main critical tasks.
- $\ensuremath{\text{\textbf{p.}}}$  Identify the G3 Main products which are supported by the critical tasks.
  - c. Identify a taxonomy of aiding technologies.
- d. Assess the potential of identified technologies to aid G3 Main performance.
- e. For those products which require analytic aiding technologies, assess the appropriateness of alternative analytic techniques.
  - f. Develop a methodology for prioritizing analytic aiding opportunities.
- g. Prioritize analytic aiding opportunities based on appropriate criteria.
  - n. Document the analysis with appropriate findings and recommendations.
- 3. METHODOLOGY. A sequential methodology was developed to accomplish the analysis objectives. A flow diagram of the major steps in the methodology is shown in figure 1-E.
- 4. #SSUMPTIONS. The following assumptions apply to the analysis in this document.
- a. Near-term (five-year) requirements for automation at G3 Main will include various commercial microcomputer systems and the Maneuver Control System (MCS).
- b. Doctrinal literature and tactical standing operating procedures (TSOP) accurately describe G3 products and tasks. Additionally, the current doctrinal literature and TSOP will remain in effect for the near term.



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- c. The Division Commander's Critical Information Requirements (CCIR), published by CACDA, are baseline information requirements and are subject to modification or validation by a working group of corps commanders.
- d. A purpose of tactical automation is to improve the performance of the tactical commander and staff.
- e. G3 products and tasks are similar at corps and division and are similar for different type corps and divisions.
- f. Bias of military and civilian analysts in making qualitative judgments about importance and feasibility of analytic aids can be reduced.
- 5. RESULTS AND DISCUSSION. Key results of the analysis are provided in this section.

## a. Analysis summary.

- (1) Seven major functions of the G3 and 43 G3 Main critical tasks were identified. Decomposition of critical tasks facilitated identification of aiding opportunities. A single comprehensive, doctrinally approved and operationally validated list of G3 critical tasks does not currently exist. Focused, structured observation and experimentation may help to further define G3 critical tasks.
- (2) Fifty-nine G3 Main products were identified. G3 tasks were mapped to the products which they support to clarify opportunities for aiding. Tables 1-E and 2-E list the G3 Main formal and implied products.
- (3) A classification scheme (taxonomy) for aiding technologies was identified and elaborated. The taxonomy facilitated targeting of appropriate technologies to aiding opportunities identified during the detailed task and product analysis. The taxonomy is adequate as a first-order technique for assessment of potential technology solutions to command and control deficiencies.
- (4) Fifty-three different G3 Main analytic aiding opportunities were identified which have potential to support the development of specific G3 products.
- (5) A methodology for prioritizing analytic aiding opportunities was developed. The methodology employed a hierarchical model to assess the relative importance and feasibility of each aiding opportunity. The methodology was consistently applied to the G3 analytic aiding opportunities to generate a recommended priority list for aid development. Table 3-E lists the aiding opportunities in order of adjusted rank.
- b. <u>Sensitivity analysis</u>. A limited sensitivity analysis was performed to examine the relationships between adjusted scores, raw scores, scores based solely on feasibility, and scores based solely on importance. Graphical analysis was the primary technique employed to investigate sensitivity. The four sets of scores were displayed in stem and leaf plots and scatter plots.

## Table 1-E. G3 Main formal products

```
OPORD *
   Task Organization
    Situation
   Mission
   Execution
    Service Support
    Command and Signal
    Fire Support Annex
    Air Defense Annex
    Engineer Annex
         Obstacle Appendix
         Denial Appendix
         ADM Appendix
    Deception Annex
    Army Aviation Annex
    Rear Area Protection Annex
    Operations Security Annex
    Airspace Management Annex
    Psychological Operations Annex
    Civil Affairs Annex
    CE Annex
    NBC Defense Annex
    Cnemical Support Annex
    Service Support Annex
    Task Organization Annex
    Intelligence Annex
    Electronic Warfare Annex
    Road Movement Annex
    Air Movement Annex
    Operations Overlay Annex
Warning Order
Frag Order
Movement Order
Admin/Logistics Order
Aircraft Mission Request (Army Aviation)
Artillery Situation Report
Air Request/Task Message (Pre-planned)
ADM Target Folder
Post Strike Analysis (Nuclear Strike)
Chemical Strike Warning
Nuclear Strike Warning
ECM Daily Summary
Electronic Warfare Support Measures (ESM) Report
Engineer Barrier Report
Engineer Mission Coordination Sneet
Engineer Trace Report
Engineer Situation Report
```

<sup>\*</sup> OPLAN is not included separately; difference between OPORD and OPLAN is that OPLAN contains assumptions and specifies the time or conditions under which it will be placed into effect.

## Table 1-E. G3 Main formal products (concluded)

Engineer Report-Damage Air Defense Status Report Aircraft Hostile Fire Report Air Defense Engagement Report Commander's Situation Report (SitRep) Unit Location Update Command, Control and Communication CM Spt Request Minefield Report Engineer Spot Report Air Request/Task Message (Immediate) PSYREP Spot PSYREP Airspace Management Procedures Request ECM Mission Request Intelligence Summary NBC 1 (Observer's Initial Report) NBC 2 (Evaluated Data Report) NBC 3 (Immediate Warn of Expected Contain) NBC 5 (Rpt of Areas of Actual Contam) NBC 6 (Detailed Information on Cnem/Bio Attack) NBC Downwinu Message MIJI Report OPSEC Spot Report Required Amnunition Supply Rate (RSR) Report PSYOP Support Request Movement Code Training Plans Maintain/Update TSOP Nuclear Release Request Cnemical Release Request

## Table 2-E. G3 Main implied products

Mission Analysis
Operations Estimate
Directed Staff Estimates
Briefings
Maintain the Current Situation
Project Unit Status
Project Critical Snortages
Maintain the Staff Journal
Allocate/Prioritze Replacement Personnel, Materiel and Units
Maintain the Troop List
Exchange of Information

Table 3-E. Analytic aiding opportunities (adjusted rank order) (continued on following pages)

AID DESCRIPTOR	AIÛ ID#	A <i>DJUSTED</i> RANK	RAW RANK	ABSOLUTE RANK DIFF	ADJUSTED IMPORTANCE RANK	ADJUSTED FEASIBILITY RANK
Unit Movement Planner	3-51	1	1	0	1	12
Force Movement Amalyzer	3-24	2	3	1	2	11
Air Movement Analyzer	3-04	3	4	1	4	19
Fuel Consumption Rates	3-26	4	5	1	7	10
Air Movement Planner	3-05	5	2	3	13	3
Assign Critical Replace- ment Units, Personnel, and Materiel	3-08	6	6	0	11	13
Terrain Management	3-46	7	12	5	10	29
Denial Preparation	3-19	8	22	14	12	30
Time Analyzer	3-47	9	7	2	28	4
Pre-Position Decontamina- tion Supplies	3-18	10	17	7	15	27
Compare Alternate Courses of Action	3-13	11	42	31	3	49
Obstacle Preparation	3-31	12	14	2	17	28
Predict Contamination (ID Affected Units)	3-39	13	9	4	36	2
Forecast Unit Status	3-52	14	30	16	6	40
Cnemical Effects Prediction	3-20	15	18	3	23	18
Expenditure Rates (FS)	3-22	16	11	5	33	6
Basic Load Allocations	3-10	17	8	9	42	1
Nuclear Effects Prediction	3-21	18	16	2	30	15
Aircraft Asset Analyzer	3-02	19	10	9	26	21
Priorities of Fire (FS)	3-40	20	24	4	18	32
Priorities/Allocation (ADA)	3-38	21	33	12	9	43
Rear Area Protection  Capabilities	3-41	22	39	17	8	45

Table 3-E. Analytic anding opportunities (adjusted mank orden) (continued)

				ABSOLUTE	ADJUSTED	ADJUSTED
AID DESCRIPTOR	AID	ADJUSTED	RAW	RANK	IMPORTANCE	FEASIBILITY
	ID#	RANK	RANK	DIFF	RANK	RANK
Troop Exposure (NBC)	3-50	23	19	4	35	17
Evaluate Damage Repair Alternatives	3-17	24	27	3	21	31
Forecast Tube Replace- ment (FS)	3-25	25	13	12	39	9
Forecast Usage Rates (RSR)	3-53	26	23	3	32	23
Allocate CAS and RECCE	3-11	27	23	1	31	25
Controlled Supply Rate (CSR)	3-15	28	13	15	43	7
Route Evaluation (AVN)	3-44	29	35	ó	5	52
ADM Employment	3-33	30	29	1	38	20
Task Organization	3-45	31	31	0	22	34
Target Allocation (Chemical)	3-48	32	25	7	40	16
Aircraft Requirements	3-03	33	32	1	25	35
Prescribed Nuclear Load (PNL) -	3-37	34*	26	8	37	22
Prescribed Chemical Load (PCL)	3-36	34*	26	8	37	22
Optimal Friendly Employ- ment (EW)	3-34	35	36	1	14	44
Organize for Compat (FS)	3-35	36	37	1	24	36
Allocate Engineer Re- sources	3-07	37	15	22	41	26

<sup>\*</sup> Ties were allowed for ranks. PCL and PNL had a tie for all scoring schemes. Therefore, the adjusted ranks ranged from 1-52 for a total of 53 aiding opportunities.

Table 3-8. Analytic along opportunities (augustes mank orden) (concluded)

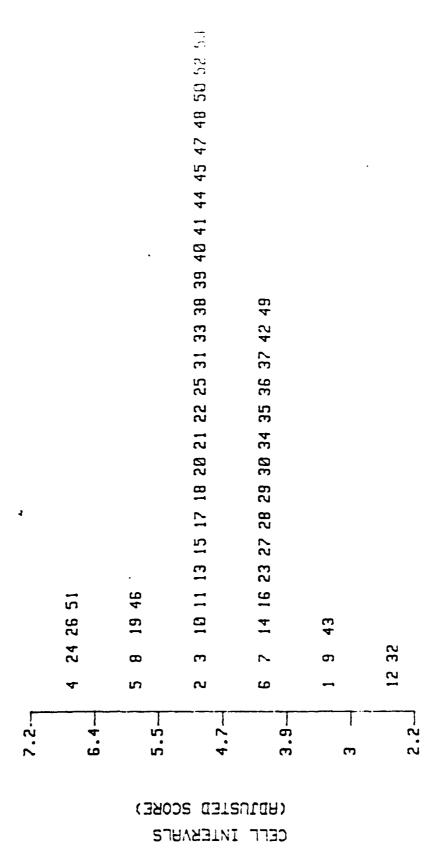
AID DESCRIPTOR	AIU ~D#	ADJUSTED KANK	RAW RANK	ABSOLUTE RANK DIFF	ADJUSTED IMPORTANCE RANK	ADJUSTED FEASIBILITY RANK
Target Susceptibility (NBC)	3-49	38	34	4	27	37
Fallout Prediction (Nuclear)	3-23	39	21	18	48	8
Hazard Areas (NoC)	3-27	40	20	20	45	14
Allocate Replacements	3-06	41	43	2	16	48
Obstacle Emplacement Plan	3-30	42	41	1	29	39
Integrate CAS (FS)	3-28	43	44	1	20	42
Relative Combat Power	3-42	44	45	1	34	<b>3</b> 8
Control Procedure (A2C2)	3-14	45	46	1	19	51
NGC Effects Evaluation	3-29	46	<b>3</b> 3	8	47	24
Post-Strike Analysis (Nuclear)	3-10	47	31	16	50	5
Determine Replacement	3-43	43	47	1	44	41
Priorities						
Allocate Critical Assets (ECM)	3-01	49	40	9	51	33
Assign PSYOP Assets	3-09	50	49	1	46	47
Obstacle Effectiveness	3-12	51	48	3	49	46
PSYOP Effectiveness	3-32	52*	50	2	52	50

<sup>\*</sup> Ties were allowed for ranks. PCL and PNL nad a tie for all scoring schemes. Therefore, the adjusted ranks ranged from 1-52 for a total of 53 aiding opportunities.

- (1) Comparison of leaf plots. Five analytic aids consistently scored in the top two cells across all scoring schemes. The specific aiding opportunities are: Air Movement Analyzer, Fuel Consumption Rates, Assign Critical Replacements, Unit Movement Planner, and Force Movement Analyzer. These aids were robust across all scoring schemes. Figure 2-E shows a leaf plot of aids based on adjusted scores.
- (2) Comparison of scatter plots. \_raphical techniques were also employed to examine the relationships between adjusted, raw, importance, and feasibility ranks. Figure 3-E shows a scatter plot comparison of adjusted ranks and raw ranks. This figure shows that the top four aids were dominant (low rank) for both raw and adjusted ranking procedures. Further, the bottom five aids were consistently inferior. However, in the rank interval 5-47 there was a great amount of variability between raw and adjusted ranks. Additional scatter plots are in appendix I.
- (3) Conclusions from sensitivity analysis. Of the top 20 aids, based on adjusted score, six consistently ranked in the top 20 over all scoring/ranking schemes. Aids in the midrange (approximately 10-40) are highly sensitive to the effects of alternative subcriteria weights.

### c. Limitations.

- (1) The analysis was based on the best available doctrinal literature and references documenting aiding technologies. However, a corps headquarters ARTEP has not been developed and the division headquarters ARTEP is under revision. The Combined Arms Training Activity (CATA) is working to standardize the critical tasks at division and corps, but a doctrinally approved and operationally validated consolidated list does not currently exist. In many cases, substantial additional research, experimentation, and field observation will be required prior to development of specific automated aids which will actually improve performance.
- (2) Though analytic techniques were decomposed in the targeting process, it is possible that a combination of analytic techniques may be embedded in a single aid.
- (3) The possibility exists that analytic aids will evolve as the automated environment and the literacy of automation users mature. In this event, an application which initially employs math model techniques might later be revised to use artificial intelligence techniques.
- 6. CONCLUSIONS. The following conclusions resulted from the G3 analysis.
  - a. The objectives of the G3 analysis were accomplished.
- b. Specific opportunities for aiding the performance of the G3 during tactical operations were identified and appropriate aiding technologies were targeted.
  - c. Fifty-three distinct G3 analytic aiding opportunities were identified.

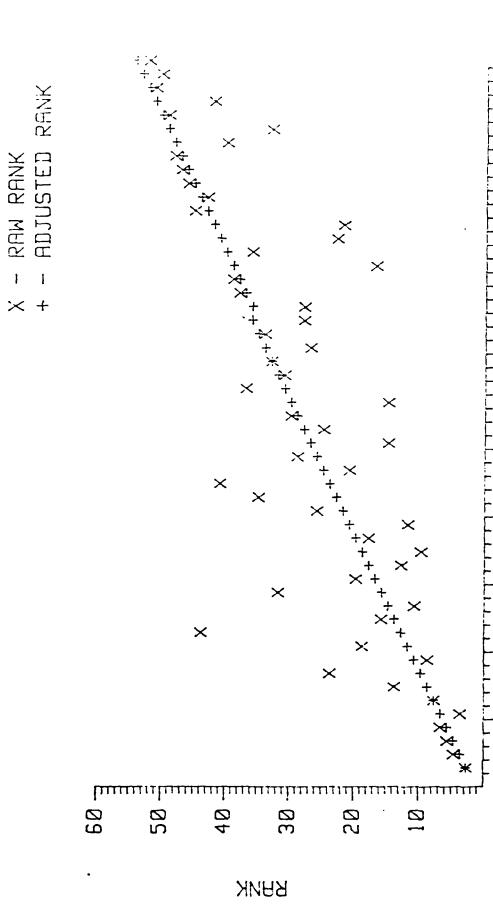


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LEAF PLOT OF ADJUSTED SCORES (EQUAL CELLS).

Figure 2-E.

(Numbers shown are aid identification numbers)



(ADJUSTED RANK ORDER) Figure 3-E. Raw rank vs. adjusted rank scatter plot

DECISION AID ID #,

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- d. Criteria of importance and reasibility provide a rational basis for prioritizing analytic aid development. The two primary criteria can be further decomposed to facilitate assessment of relevant factors such as development cost, training, frequency of product development, and potential time savings.
- e. A recommended priority for development of G3 analytic aids was compiled based on the above criteria using a nierarchical prioritization structure. The five aids which consistently ranked at the top of the priority list are Air Movement Analyzer, Assign Critical Replacements, Force Movement Analyzer, Fuel Consumption Rates, and Unit Movement Planner.
- f. Additional research, field observation, and experimentation are required to define and standardize G3 tasks and products.
- g. The methodology employed to analyze the G3 Main may be applied to other functional areas to identify and prioritize development of automated aids.
- n. The prioritized list of G3 analytic aiding opportunities provides a rational basis for focused development of decision aid prototypes.

#### 7. RECOMMENDATIONS.

- a. That the G3 analysis be approved as an accurate, comprehensive study which identifies and prioritizes G3 aiding opportunities based on current doctrine.
- b. That the G3 analysis be presented to the Combat Developer (C3I, CACDA) to assist in focused development of decision aids for the Maneuver Control System (MCS).
- c. That G3 Main products and tasks be standardized to enable efficient training of high-performing staffs and to facilitate rapid, successful transition from a manual to an automated U.S. Army Tactical Command and Control System.
- d. That the analytic methodology described in this report be approved as an appropriate methodology for identifying aiding opportunities in battlefield functional areas.
- e. That increased emphasis be placed on analysis of the command and control process through observation and experimentation to define the process and to improve procedures within the process.

#### MAIN REPURT

#### 1. INTRODUCTION.

- a. <u>Purpose</u>. The purpose of this report is to document an analysis of the G3 section of U.S. Army corps and division main command posts (G3 Main). The purpose of the G3 analysis was to identify opportunities for aiding the performance of the G3 during tactical operations through the use of computer applications. The G3 analysis was performed by the Command Control Analysis Division (CCAD), Studies and Analysis Directorate (SAD), Combined Arms Operations Research Activity (CAORA) during the period January July 1985. The G3 analysis was a substudy of the Combined Arms Center (CAC) Command and Staff Decision Aids Project. The G3 analysis was performed to assist the Combined Arms Combat Developments Activity (CACDA) to refine requirements for software applications on tactical automated systems.
- b. Problem statement. The analysis documented in this report was performed to assist CACDA by answering the following questions:
- (1) What are the opportunities for aiding the performance of the G3 during tactical operations through the use of computer applications?
- (2) What aiding opportunities require analytic techniques which transform tactical data into meaningful decision information (analytic aids)?
- (3) What criteria should be applied to prioritize analytic aid development?
- (4) What is the recommended development priority for G3 analytic aiding opportunities?
- Backgroung. The CAC Command and Staff Decision Aids Project was established in response to a February 1984 directive from Commander, Training and Doctrine Command (TRADOC), which tasked the Combined Arms Center "...to initiate an effort leading to the use of advanced technology systems to help the field commander and his staff in deciding on a course of action in critical battlefield situations..." and to "...describe additional work to be done to develop means by which commanders can use computers to improve decision making." Project guidance called for a constrained effort initially to identify ongoing efforts and to "...determine gains which might be realized by increasing the effort." The Command, Control, Communications, and Intelligence Directorate (C3I), CACDA, was designated as the CAC lead for the Command and Staff Decision Aids Project. C3I, CACDA, requested CAORA support in a constrained project to develop a prototype application and to analyze opportunities for expanded application development in support of evolving automated battlefield control systems. The G3 analysis documents identified opportunities for application development in support of the G3 Main. Concurrently, CAORA developed an Integrated Unit Movement Planning Aid (MOVEPLAN) as a "proof of principle" prototype to refine the application development process. The MOVEPLAN prototype will provide a near-term capability to field users and a validated software requirement to tne materiel developer.

- d. Approach. The general approach employed to identity and prioritize opportunities for aiding the performance of the G3 during tactical operations was a structured functional analysis of the G3 Main. The structured functional analysis, depicted in figure 1, focused on the doctrinal G3 Main tasks and products to develop qualitative assessments of aiding opportunities. The analysts recognized that the specific manner of task performance and the forms of products may vary from command to command, but that underlying opportunities for aiding performance have potential for transfer across commands. The detailed analysis methodology is described in paragraph 3.
- e. Report organization. This report is organized in two volumes. Volume I provides an executive summary and a main report which reflect analysis objectives, analysis methodology, assumptions, analysis highlights, conclusions, and recommendations. Volume I is designed as a ready reference for stand-alone use. Volume II provides additional technical information and functional descriptions which supported the analysis. Appropriate lists, figures, and tables are included in the main report to clarify the analysis methodology, analysis, and conclusions.
- 2. OBJECTIVES. The following objectives were established to accomplish the G3 analysis:
  - a. Identify the 63 Main critical tasks.
- b. Identify the G3 Main products which are supported by the critical tasks.
  - c. Identify a taxonomy of aiding technologies.
- d. Assess the potential of identified technologies to aid G3 Main performance.
- e. For those products which require analytic aiding technologies, assess the appropriateness of alternative analytic techniques.
  - f. Develop a methodology for prioritizing analytic aiding opportunities.
- g. Prioritize analytic aiding opportunities based on appropriate criteria.
  - n. Document the analysis with appropriate findings and recommendations.
- 3. METHODOLOGY. A sequential methodology was developed to accomplish the analysis objectives. A flow diagram of the major steps in the methodology is snown in figure 1. The following subparagraphs provide a description of each element of the analysis methodology.
- a. Acquire expertise. CAURA analysts performed an extensive review of doctrinal literature and related studies, consulted with subject-matter experts, observed G3 activities during REFORGER, and studied historical reports. The principal product of this step was an initial foundation of knowledge about G3 Main activities. A secondary product was development of a library of reference materials to support the analysis. Controlled experiments, surveys, interviews, and structured observations of command posts were considered but were not feasible in the initial constrained analysis effort.

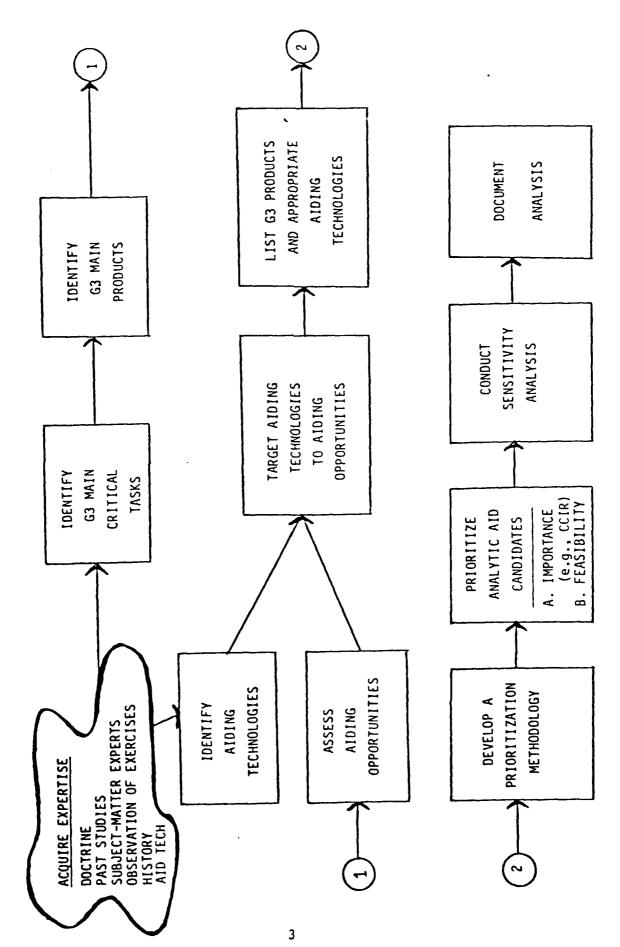


Figure 1. G3 analysis methodology

- b. Identify 32 Yarn initions tasks. First, soldiers who work at 33 Yarn were identified using doctrinal publications. Next, the expertise acquired from literature, consultation, and limited rield observation was focused to compile a list of 63 Main critical tasks. The task list was refined by cross-checking ductrinal literature (FM 101-5, A-TEP 100-2, FC 101-55), technical reports (CAGRA TR 1-85, CC32 functional analyses), and obtaining required clarification from subject-matter experts. The principal G3 activities were decomposed to define specific tasks. A task reference sheet was then developed to provide a brief description of the elements of each task.
- c. Identify G3 Main products. Techniques similar to the task analysis were applied to identify the principal G3 Main products. The sources for task analysis were used as well as CAORA's Command Information Database (CID) which facilitates cross-mapping of tasks to the products which they support. The CID was developed from detailed analysis of five corps and nine division tactical standing operating procedures (TSOP) and doctrinal literature. The CID assisted analysts in refining the list of G3 Main products reflected in doctrinal literature.
- d. Identify aiding technologies. A review of computer science, information system, and decision support literature clarified a classification scheme of aiding technologies. The consistent taxonomy decomposed aiding technologies into information processing techniques, user interface techniques, and analytic techniques. Analytic techniques were further subdivided into categories of artificial intelligence (AI), mathematical models, optimization techniques, computer simulations, and decision analysis. The taxonomy enabled the targeting of appropriate technologies to aiding opportunities identified during the detailed task and product analysis. prief descriptions follow.

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- (1) Information processing. Information processing technologies encompass architectural capabilities innerent to automated information processing. Some example technologies in the class include information storage, access, security, distribution, and communications. Specific realizations of these technologies are UNIX, DBASE II, and Electronic Mail.
- (2) User interface. User interface technologies include hardware and software developments which enhance the capability of a human operator to interact with an automated information system. Typical examples in this class are help menus, interactive tools such as the mouse and bit tablet, graphic displays, standard format prompts, touch-sensitive screens, and voice input or output.
- (3) Analytic techniques. Analytic techniques are embedded or adaptable relational models which transform data which resides in the database. The transformation process goal is to yield meaningful information from existing or readily-available data. In many cases, the analytic techniques have existed and been refined in a manual operating environment but speed, quality, and number of processing steps have been expanded in an automated environment. However, as in the case of AI, emerging analytic techniques have also been identified for potential aiding of human performance. The analytic technologies were further decomposed to focus analytic aiding opportunities. A brief description of each category is provided below.

- (a) Artificial intelligence AI). AI techniques refer to applications which employ inference rules based on expert knowledge. The analysts could not assess the preferences of AI to other analytic aiding alternatives due to the relative immaturity of AI. However, the DARPA Strategic Computing Program and other initiatives may clarify the role of AI as a sound analytic technique.
- (b) Mathematical models (MM). Mathematical models encompass straightforward computational techniques which utilize basic relationships to obtain information. Examples are:

distance = rate X time sector force ratio = value of enemy forces in sector value of friendly forces in sector

Information processing and user interface technologies (DBASE II, LOTUS 1, 2, 3) facilitate the use of math models in an automated environment.

- (c) Optimization techniques (OT). Optimization techniques employ operations analysis methods to search for a "best" solution. OT generally requires definition of an objective function (optimization criteria) and a statement of constraints. Example OT applications are linear programming, goal programming, and networks. In some cases, OT methods may interact with neuristic, perhaps AI techniques, to yield a "best feasible" solution unger operator control.
- (a) Simulation (SIM). Simulations are event- or time-sequenced models which may have math models or optimization techniques embedded. Simulations facilitate the investigation of variable relationships over time or some other designated independent variable(s). Example simulations include deterministic or stochastic (probabilistic) war games or queuing models.
- (e) Decision analysis (DA). DA techniques employ game theory, utility/value models, or decision trees to examine alternative strategies. Use of a decision payoff matrix, which seeks a dominant outcome for a given alternative, is a DA method.
- e. Assess aiding opportunities. An initial qualitative assessment was made to determine opportunities for aiding the development of G3 Main products. The qualitative assessment was based on a general knowledge of aiding technologies, both current and projected, and a detailed understanding of the products and the tasks which support product development. This initial screening considered frequency of product development, time and coordination required, task/product complexity, and training and experience required to develop the product.
- f. Target aiding technologies to aiding opportunities. For each product with assessed potential for technological aiding, a further judgment was made concerning the specific aiding technologies which could be applied. A more detailed understanding of aiding technologies was required to make this assessment. The assessment further ranked the potential of "competing" analytic technologies to satisfy the identified opportunity.

g. <u>List 63 products and appropriate aiding technologies</u>. Each 63 Main product and its supporting tasks were examined to determine whether the three aiding technologies - analytic, information processing, or user interface - might be useful. A matrix was developed to list the products and to identify the appropriate technologies for each. In addition, for those products which were assessed to have potential for analytic aiding, a description of the specific analytic aid was developed. An assessment was then made concerning the appropriateness of alternative analytic aiding technologies. A brief aid descriptor (name) was then assigned.

## n. Develop a prioritization methodology.

- (1) A methodology was required to prioritize the aiding opportunities since infinite resources are not available for development. Alternative techniques for structuring preferences were investigated. Specific techniques considered were decision tables using dominance or minimax decision criteria and a nierarchical model developed by Thomas L. Saaty (reference 10). An approach which provided discrimination between competing aiding opportunities was desired. Decision tables would identify groups of aids with similar dominance or minimax characteristics but would not provide information about differences within groups of aids. Saaty's analytic nierarchy process (AHP) provided an objective method to obtain a priority value for each individual aid. The pasic methodology applied, using Saaty's technique, was to formulate a hierarchy of separable criteria to evaluate each aiding opportunity. A method of pairwise comparisons was employed to determine the relative utility (weight) of eac" criteria. A commercial software application, "Expert Cnoice," facilitated the computation of criteria values. Figure 2 depicts the nierarchy which was developed to evaluate each aid individually and then to obtain a weighted score for each aid relative to all other aids. A worksheet was developed for individual aid evaluation. An example of the worksheet is provided at figure 3.
- (2) Criteria for expressing preferences were developed. The primary criteria of importance and feasibility were selected based on a review of information system literature and current application development experience. Importance encompasses the factors which contribute to improved G3 effectiveness. Feasibility encompasses considerations of major costs associated with developing, training users, fielding, and maintaining applications. The two primary criteria were further decomposed into three subcriteria for each. The primary criterion of importance was decomposed to subcriteria of frequency of use, estimated time and quality savings, and the extent to which the aid supports the Division Commander's Critical Information Requirements (CCIR). The primary criterion of feasibility was decomposed to subcriteria of cost, operational environment, and technical capability. A discussion of each subcriterion is provided in the following subparagraphs.

#### (a) Importance.

l Frequency. This subcriterion of importance was used to assess the frequency of potential use of a specific aid in a 24-hour period during mid- to high-intensity combat operations. The premise was that aids which are used repeatedly have higher utility than those which are used infrequently.

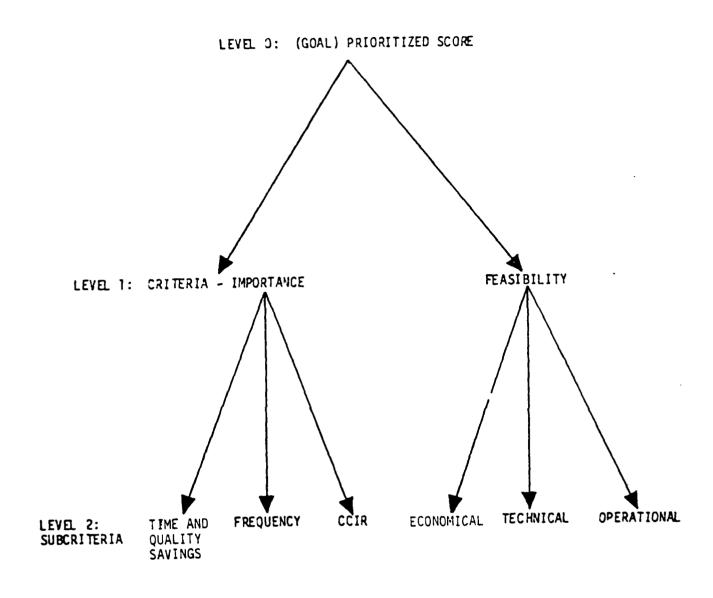


Figure 2. Hierarchy of aid criteria

### AID PRIORITIZATION WORKSHEET

1. ALD DESCRIPTOR: Ja . R. Ligarination 2. AID NUMBER: 3-45 PRODUCT SUPPORTED: OFCRD (Jusk Organization) PRIMARY ANALYTIC TECHNIQUE: Licixion analyzin SUPPORTING ANALYTIC TECHNIQUE(S): artificial Intelligence, Math Model TASK(S) SUPPORTED (BY NUMBER): 1a, 16, 3a 7. CCIR SUPPORTED (TOTAL NUMBER): // 8. BRIEF AID DESCRIPTION: This aid is designed to a spanise combat and combat support units for combat based on mission, terrain, unit status, etc. RANKING BY SCALES (IMPORTANCE): SCORE WT SCORE Frequency (Low, High) Time and Quality Savings (Small, Large) 6.1 .198 1.208 c. CCIR (Few, Many) <u>. 3.3 .360 /./88</u> RANKING BY SCALES (FEASIBILITY): Operational (Low, High) 5.6 .190 1.064 Economical (High Cost, Low Cost) 0 X5 10 4.7 .048 .326 c. Technical (High Risk, Low Risk) 0 5 10 6.5 .095 .6/8 30.5 1.000 4.77= TOTAL SCORES:

Figure 3. Example aid prioritization worksheet

- 2 Time and quality savings. This superiterion of importance was used to assess the potential for more rapid product development and improved product quality for a single iteration. Factors considered in the assessment were task/product complexity, number of variables considered, product content/volume, and training or competence required for effective task performance. The underlying premise was that aids which reduce time and improve quality during a single product iteration have higher utility than those aids which save little time or quality.
- 3 CCIR. Each aid was rated on a ratio scale based on the number of major subcategories of CCIR which it supported. The underlying premise was that aid utility increases with increasing production/support of CCIR.

### (b) Feasibility.

- 1 Operational. Each aid was considered in terms of costs associated with the operational environment. Factors considered were aid transparency and user literacy. The underlying premise was that transparent aids which minimize requirements for training (user literacy) have the highest utility.
- <u>2</u> Economical. Each aid was considered in terms of costs required for research to refine requirements, prototype development, maintenance and training, hardware availability, and fielding/conversion of systems. The underlying premise was that a near complete, straightforward, easily supported prototype has the nighest utility.
- 3 Technical. Each aid was considered in terms of the existence of near-term or current technologies for aid development and fielding. This was basically a risk assessment. Factors considered included communications, automation, software development technologies, data availability, and maturity of analytic techniques. The underlying premise was that applications which are dependent on existing, well-established technologies are minimal risk candidates.
- i. Prioritize analytic aid candidates. For each aid requiring analytic techniques, a prioritization worksneet was prepared and an assessment was recorded by CAORA analysts for each subcriterion of importance and feasibility. An interval scale was used to express the extent to which each potential analytic aid satisfied each supcriterion. The transition to a focus on analytic aids was based on the recognition that the combat developer and materiel developer are making progress in the application of information processing and interface technologies but are experiencing difficulty defining requirements for analytic techniques to support tactical commanders and their staffs. For each aid, the analysts reviewed the relevant materials to better understand the purpose of the aid, the potential analytic techniques, and the extent of support to the CCIR. A mapping was made to determine which CCIR subcategories were required or would be produced by the aid. After discussion, a consensus was obtained concerning the ranking of the aid for each subcriterion. The result was a raw score for each subcriterion. The raw scores were added to optain a total raw score for each aid. The total raw score reflects the value of each aid if all criteria (importance, feasibility) and the six subcriteria are equally weighted. Weights for the criteria of importance and feasibility were obtained by the method of pairwise comparison. Within each criterion, relative weights were obtained for the subcriteria using the same method. The relative weight of each subcriterion was

multiplied by the weight of its corresponding criterion to obtain six subcriteria weights that are comparable across criteria and sum to one. These relative weights were multiplied by raw subcriteria scores to obtain adjusted subcriteria scores. Adjusted subcriteria scores were then added to obtain an adjusted total score for each aid. The adjusted total score was the primary basis for recommended priority for development of potential aid candidates.

- j. Conduct sensitivity analysis. Weighting of criteria and the structure of the hierarchy were varied to examine the sensitivity of the prioritized list to the methodology. A limited sensitivity analysis was performed to examine the relationships between adjusted scores, raw scores, scores based solely on feasibility, and scores based solely on importance. Graphical analysis, utilizing leaf plots and scatter plots, was the primary technique employed to investigate sensitivity. It is important to emphasize that the methodology embedded a sensitivity data collection scheme, since the raw scores reflect an equal weighting of utilities across subcriteria, while the adjusted scores reflect the net effect of the pairwise comparison of subcriteria and criteria.
- k. <u>Document analysis</u>. The results of the analysis were compiled and recorded in a two-volume technical report. A briefing was prepared to present results and recommendations to decision makers.
- 4. ASSUMPTIONS. The following assumptions apply to the analysis in this document.
- a. Near-term (five-year) requirements for automation at G3 Main will include various commercial microcomputer systems and the Maneuver Control System (MCS).
- b. Doctrinal literature and TSOP accurately describe G3 products and tasks. Additionally, the current doctrinal literature and TSOP will remain in effect for the near term.
- c. The CCIR, published by CACDA, are baseline information requirements and are subject to modification or validation by a working group of corps commanders.
- d. A purpose of tactical automation is to improve the performance of the tactical commander and staff.
- e. G3 products and tasks are similar at corps and division and are similar for different type corps and divisions.
- f. Bias of military and civilian analysts in making judgments about importance and feasibility of analytic aids can be reduced.
- 5. RESULTS. Key results of the analysis are provided in this section.

#### a. G3 Main critical tasks.

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(1) Before G3 Main critical tasks were determined, the study team identified the soldiers who work at G3 Main. FC 101-55, "Corps and Division Command and Control," provided a model for the command post organization of a heavy division. From that model, a list of soldiers in the G3 section at the main command post and a list showing their location in the current operations

or plans cell were derived. The lists, solwn in appendix a, were reinforced by the Table of Organization and Equipment (TGE) for HHC Heavy Division (Army of Excellence), TGE 870043410, 1 April 1984.

- (2) Four primary documents were analyzed to identify and define G3 Main critical tasks. A comparison matrix was organized to reflect the documents which validated each task. The matrix facilitated identification of gaps or differences between documents. The comparison matrix is shown at table 1. Seven major G3 functions composed of a total of 43 critical tasks were identified and compiled. Differences across documents were not significant; nowever, the potential utility of a single comprehensive, doctrinally approved G3 critical task list was highlighted by the analysis. A list currently exists in FC 101-55 which may deserve review and revision as snown by the comparison matrix disparities.
- (3) A separate reference sheet was prepared to delineate the key elements of each major function and critical task. The reference sheets were key documents which supported the assessment of aiding opportunities. An example task reference sheet is shown at figure 4; the complete set of 50 reference sheets is in appendix D. As an example, note that a subtask for successful organization for combat is estimation of numbers and types of units to be organized and prioritized. This subtask implies an opportunity for analytic aiding.
- p. 63 Main products. A detailed analysis of 63 tasks, doctrinal literature, TSOP, and the CID resulted in the compilation of G3 information products. Ine products were divided into two categories: formal and implied. Formal products were defined as standard documents produced and disseminated by the G3. Implied products were materials generally developed by the G3 for internal use or for informal coordination. Forty-eight formal products and Il implied products were identified or the G3 Main. Listings of the formal and implied products are provided in tables 2 and 3. One formal product of the G3 is Task Organization, which may a disseminated as a main element or the the Operations Order, or as an element of a Operations Order, as an annex Warning Order or Fragmentary Or The task, Organize units for compat, supports the development of the p. rt Task Organization. The relationship of task, subtask, and product was key the analysis process which led to the identification of opportunities to aid ring the development of G3 products.

#### Assessment of aiding opportunities.

- (1) A classification scheme of aiding technologies was developed using computer science, information system, and decision support literature. The taxonomy decomposed aiding technologies into information processing techniques, user interface techniques, and analytic techniques. Analytic techniques were further subdivided into categories of artificial intelligence (AI), mathematical models, optimization techniques, computer simulations, and decision analysis (DA).
- (2) A list of G3 Main products and the G3 Main tasks which support their development was compiled using the CID. This list enabled analysts to examine task, subtask, and product and to assess aiding opportunities. Figure 5 shows the tasks which support the product Task Organization. A complete list of products and supporting tasks is contained in appendix G. For the Task Organization example, the assessment at this point in the analysis was that an aiding opportunity did exist.

Table 1. Comparison matrix showing G3 critical tasks and supporting documents (continued on following pages)

POST THE PROPERTY OF THE PARTY OF THE PARTY

CAORA TR 1-85		*	×		×	×	×	×	×	×	×
FC 101-55		×	×	×	×	<b>&gt;</b> :	×	*	×	×	×
ARTEP 100-2		×	<b>×</b> :	×	×	×	×	×	×	×	×
FM 101-5		×	×	×	*	*	×	×	*	*	×
TASK	Develop plans based on missions.	<ul> <li>a. Prepare and communicate plans and orders.</li> </ul>	<ul><li>b. Organize and equip units for combat.</li></ul>	<ul><li>c. Analyze and implement training programs.</li></ul>	d. Plan for employment of fire support.	e. Plan for employment of nuclear and chemical weapons.	f. Integrate CSS into scheme of maneuver.	g. Plan for employment of EW.	n. Develop communications plan.	i. Reinforce terrain; plan obstacles and M-CM-S operations. <sup>1</sup>	j. Establish air defense priorities
	ă	æ	Φ	ပ	P	a	4	9	=		j.

Wording is adapted from CAURA item except that "M-CM-S" (mobility-countermobility-survivability) from FC 101-55 was used instead of "mobility." This task includes "Develop division river crossing plan" from ARTEP 100-2.

Table 1. (continued)

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FC CAORA 101-55 TR 1-85	*	× ×		*	×	*	*		×
ARTEP 100-2	×	×			×	×			×
FM 101-5	×	*			×	×			*
TASK	k. Integrate USAF assets into operations plans.	<ol> <li>Integrate Army Aviation assets into operations plans.</li> </ol>	Initiate intelligence preparation of tne battlefield. <sup>2</sup>	a. Prepare analysis of area of operations.	<ul><li>b. Formulate the intelligence collection plan.</li></ul>	<ul><li>c. Prepare the reconnaissance, surveillance, and target acquisition plans.</li></ul>	d. Allocate intelligence resources.	Control and coordinate combat operations.	a. Implement and update plans and orders.

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<sup>2</sup> Although the overall function is not a G3 responsibility, some of its tasks are performed by the G3, so the function is retained in the list for clarity.

ж

Table 1. (continued)

	TASK	FM 101-5	ARTEP 100-2	FC 101–55	CAORA TR 1-R5
ė	Direct combat operations and coordinate all command post functions.	*	×	×3	×
ຜ່	Supervise execution of operations to ensure compliance with commander's concept and decisions.	×	×	· ×	×
d.	Evaluate TSOP.	×		×	×
نه	Maintain current situation status.4	×	×	×	×
<b>.</b>	Concentrate/shift combat power.	×	×	×	×
g.	Conduct PSYOP and civil-military operations.	χ <sub>5</sub>	×	уχ	
Ė	Coordinate airspace management.	×	×	*	×
:	Direct/coordinate conduct of EW.	×	×	×	×
j.	Conduct deception operations.	×		×	×
×.	Coordinate NBC offensive operations.	×		×	
 See	See the battlefield and the enemy.				
		;	:	>	>

<sup>3</sup> FC 191-55 indicates that the G3 directs combat operations at the main CP, but the Chief of Staff

Collect intelligence information.

coordinates the command post functions.

4 Wording is from FC 101-55 and includes status of resources, operations estimate, and intelligence estimate from ARTEP 100-2.

<sup>5</sup> FM 101-5 assigns supervision of PSYOP to the G3, but CMO to the G5. 6 FC 101-55 indicates the G3 conducts PSYOP, but the G5 coordinates CMO.

Table 1. (continued)

	TASK	FM 101-5	ARTEP 100-2	FC 101-55	CAORA TR 1-85
	b. Analyze/evaluate enemy capabilities.	*	*	×	×
•	<ul><li>C. Determine enemy courses of action, commat effectiveness, and vulnerabilities.</li></ul>	×	×	×	×
_	<ul><li>d. Disseminate intelligence, intelligence estimates, and combat information.</li></ul>			×	×
	React to enemy NBC operations.				
-	a. React to nuclear attack.	×	×	×	×
-	<ul><li>b. React to chemical or biological attack.</li></ul>	×	×	×	×
_	c. Conduct NBC defensive operations.	×		×	×
	Secure and protect the corps/ division.				
	<ul> <li>a. Develop and implement OPSEC programs.</li> </ul>	×	×		×
	b. Conduct offensive counter- intelligence operations.	×			×
	c. React to enemy EW.	×	×	×	×
	d. Conduct RACO.	×	×		×
	e. React to enemy air attack.	×	×		×

Table 1. (concluded)

	TASK	FM 101-5	ARTEP 100-2	FC 101-55	CA0RA TR 1-95	
ō	rovide for CSS.					
•	Arm the system.	×	×	×	×	
•	Fuel the system.	×	×	×	7	
•	Fix the system.	×	×	×	×	
<u>.</u>	Man the system and support the troops.	×	×	×	×	
	1					

7 The CID snows no 63 action for "Fuel the system."

#### SAMPLE TASK REFERENCE SHEET

### G3 TASK REFERENCE SHEET 1D

- 1. TASK: Organize and equip units for combat.
- 2. SUPPORTING DOCUMENTS: ARTEP 100-2, FM 101-5.
- 3. TASK DECOMPOSITION:
- a. Compile and maintain the troop list to include continual review and revision to ensure that the number and type of units assigned are those which can best accomplish and support the command mission.
- b. Recommend the organization and equipping of units: estimate numbers and types of units to be organized and priority for phase-in or replacement of personnel and equipment in those units.
- c. Recommend assignment or attachment of combat, combat service support units or teams, and unit replacements; assign such units within the command in accordance with requirements of the situation.
- d. Receive and process assigned units or teams to include such orientation, training, and reorganization as may be required. Prepare plant to activate and deactivate units. Prepare plans for mobilization and demonilization.
- e. This task is successfully accomplished if the unit prioritizes assignment of replacements to subordinate units and task organizes in a manner which will mass superior combat power at the critical time and place.

Offense: As a general guide, the force ratio (relative combat power) of the friendly force to the enemy force should be 5:1 or better at the point of the main effort.

Defense: As a general guide, the force ratio (relative combat power) of the friendly force to the enemy force should be no worse than 1:3 at the point of the enemy main attack.

1 These furce ratio guidelines for offense and defense are taken from ARTEP 100-2.

Figure 4. Example G3 task reference sneet

# Table 2. 63 Main formal products

```
OPORD *
     Task Organization
     Situation
    Mission
    Execution
    Service Support
    Command and Signal
    Fire Support Annex
    Air Defense Annex
    Engineer Annex
        Obstacle Appendix
        Denial Appendix
        ADM Appendix
    Deception Annex
    Army Aviation Annex
    Rear Area Protection Annex
    Operations Security Annex
    Airspace Management Annex
    Psychological Operations Annex
    Civil Affairs Annex
    CE Annex
    NBC Defense Annex
    Cnemical Support Annex
    Service Support Annex
    Task Organization Annex
    Intelligence Annex
    Electronic Warfare Annex
    Road Movement Annex
    Air Movement Annex
    Operations Overlay Annex
Warning Order
Frag Order
Movement Order
Admin/Logistics Order
Aircraft Mission Request (Army Aviation)
Artillery Situation Report
Air Request/Task Message (Pre-planned)
ADM Target Folder
Post Strike Analysis (Nuclear Strike)
Chemical Strike Warning
Nuclear Strike Warning
ECM Daily Summary
Electronic Warfare Support Measures (ESM) Report
Engineer Barrier Report
Engineer Mission Coordination Sneet
Engineer Trace Report
Engineer Situation Report
```

<sup>\*</sup> OPLAN is not included separately; difference between OPORD and OPLAN is that OPLAN contains assumptions and specifies the time or conditions under which it will be placed into effect.

## Table 2. G3 Main formal products (concluded)

Engineer Report-Damage Air Detense Status Report Aircraft Hostile Fire Report Air Defense Engagement Report Commander's Situation Report (SitRep) Unit Location Update Command, Control and Communication CM Spt Request Minefield Report Engineer Spot Report Air Request/Task Message (Immediate) **PSYREP** Spot PSYREP Airspace Management Procedures Request ECM Mission Request Intelligence Summary NBC 1 (Observer's Initial Report) NBC 2 (Evaluated Data Report) NBC 3 (Immediate Warn of Expected Contam) NBC 5 (Rpt of Areas of Actual Contam) NBC 6 (Detailed Information on Cnem/Bio Attack) NBC Downwing Message MiJI Report OPSEC Spot Report Required Ammunition Supply Rate (RSR) Report PSYOP Support Request Movement Code Training Plans Maintain/Update TSOP Nuclear Release Request Chemical Release Request

## Table 3. G3 Main implied products

Mission Analysis
Operations Estimate
Directed Staff Estimates
Briefings
Maintain the Current Situation
Project Unit Status
Project Critical Snortages
Maintain the Staff Journal
Allocate/Prioritze Replacement Personnel, Materiel and Units
Maintain the Troop List
Exchange of Information

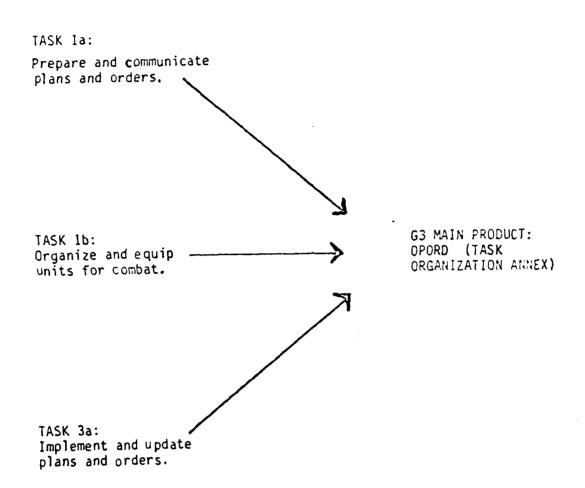


Figure 5. Task mapping for G3 Main product Task Organization

- (3) Analysts made an assessment of the specific aiding technologies which could be applied to G3 Main products. In some cases, particularly in AI technologies, a positive assessment could not be made due to the relative immaturity of the technology. However, for most products and technologies, a positive assessment was possible. The Task Organization product was assessed as definitely requiring information processing and user interface technologies and medium probability of analytic support using DA techniques, low probability of mathematical model support, and uncertainty concerning AI applications.
- (4) Analysts assigned a descriptor (name) to each analytic aiding opportunity. A Task Organization aid could be developed, employing analytic techniques to improve quality and timeliness, with consideration of variables such as weather, terrain, unit status, leadership, etc.
- d. G3 Main products and appropriate aiding technologies. Table 4 shows the matrix which was developed to list the 59 G3 Main products and to map appropriate aiding technologies to support product development. The matrix reflects the qualitative assessment of aiding opportunities for each product. In some cases, a single product might be supported by more than one analytic aid. In table 4, for example, two potential analytic aids to support development of the Execution paragraph of the Operations Order were identified and were listed in the right-hand column with appropriate aid descriptors. Figure 6 is a brief description of the potential analytic aids which might be developed for the products shown on the first page of the matrix in table 4. A total of 53 different analytic aiding opportunities were identified at this point in the analysis. Each analytic aiding opportunity was identified by a distinct aid descriptor.

## e. Prioritization of analytic aid candidates.

- (1) A prioritization methodology was developed based on an investigation of alternative techniques for structuring preferences. Thomas L. Saaty's analytic hierarchy process (AHP) (reference 10) provided an objective method to obtain a priority value for each individual aid. A nierarchy of separable criteria was formulated, and a method of pairwise comparisons was used to determine the relative utility (weight) of each criteria. A commercial software application, "Expert Choice," facilitated the computation of criteria values. Local and global weights of the criteria and subcriteria are reflected in figure 7.
- (2) The 53 analytic aiding opportunities were prioritized based on adjusted ranks. The ranks were based on the total adjusted score for each aiding opportunity. Table 5 shows the prioritized list. A graphical display of aid scores was developed to examine the distribution of aids over the scoring spectrum. Figure 8 presents a leaf plot of adjusted scores which shows the distribution of scores and the aid identification numbers which fall in each cell. Insights derived from this display were that adjusted scores had a single mode, were slightly skewed toward higher scores, and that the distribution of scores was approximately normal. Use of a leaf plot as opposed to a bar chart further conveyed information concerning the specific aiding opportunities contained in each cell. Eight of the top 20 are in the two highest cells of the leaf plot. Additional technical information is available in appendix I, annex V.

Aid identification numbers, such as 3-1, 3-2, etc., were assigned to an approximately alphabetical listing of aid descriptors. The "3" stands for "63"

Table 4. A matrix of products, aiding opportunities and aid descriptors (continued on following pages)

NAMES OF THE PARTY

# LEGEND:

INF	ŀ	INFv - Information	>
AI	ŧ	Artificial intelligence	Z
Ξ	1	Matnematical model	۲.
10	ı	Optimization technique	2
SIM	1	Simulation	>
Ø	ı	Decision analysis	

I	mation M -	7
Yes	NO Requires more information Definitely requires aiding	technology

H - High probability of solution	problem	
	- Hign probability	solution

edium probability olution ow probability of olution	of problem		problem	
£ +1 Σ Ω Π Σ	M - Medium probability of problem	solution	L - Low probability of problem	solution

			AIDING	AIDING TAXONOMY	OMY				
63 PRODUCTS	ANALYTIC A1D ASSESSMENT	INFO PROCESSING	USER Interface	ANAL	YTIC	AIfi T	ANALYTIC AID TECHNIQUES AI   MM   OT   SIM   DA	UES DA	AID DESCRIPTOR
ОРОКИ Task Organization	<b>,</b>	>	>	<i>~</i> ·				Σ	Task Organization
Situation	λ			33S	OPERA	NOIL	SEE OPERATIONS ESTIMATE	1TE	
Mission	Z								
Execution	>	\	\		Σ	٦			Terrain Manayement
		7	7		三		Σ		Force Movement Analyzer
				٠٠	I				Basic Load
Service Support	>	\		ľ	Ŧ			:	CSR
		)			=		ε	ε	Replacement Priorities Fuel Consumption Rates
Consuand & Signal	Z	>	\						

. (continued)	
<u>ٽ</u>	Ξ
	<u> </u>
Table 4	<u>1</u>

			AIDIN	AIDING TAXONOMY	YMOI				
G3 PRODUCTS	ANALYTIC AID ASSESSMENT	INFO PROCESSING	USER INTERFACE	ANAL	ANALYTIC AI MM I	AID T	TECHNIQUES SIM DA	UES	AID DESCRIPTOR
Fire Support Annex	<b>&gt;</b> -	`\	>	٥. ٥. ٥. ٥.	<b>-</b> √Σ Σ Ξ	_    ≥	Σ	ΣΣΣΣ	Priorities of Fire ORG for Combat PCL: PNL
Air Defense Annex	>	7	/	۲۰.		Σ	Σ	Σ	Priorities/Allocation
Engineer Annex:  Obstacle App  Jenial App ADM App  Deception Annex	> z	>>>	>>>	~ ~ ~	ΣΞΣ	ΣΣΣ			Obstacle Preparation Denial Preparation Optimal ADM Employment
Ariiy Avn Annex	٨	>		٠	Σ		7		Aircraft Requirements
Rear Area Protection Annex	λ	>	>			•			RAP Capabilities
Operations Security Annex	Z								
Airspace Manayement Annex	>-	>		۲.			Σ	Σ	Control Procedures/Status
Psychological Operations Annex	٨	١,٠		;				ΣΣ	Operational Effectiveness Assign PSYOP Assets
Civil Affairs Annex	Z	>	\						
CE Annex	z	\ \	7				-		
NBC Defense Annex	>	7		c. c.	I		ΣΣ	ΣΖ	Troop Exposure Target Susceptibility Pre-Position Necon Supplies

•	; <del>-</del>	-	Table 4. (continued)	ontinued)				
			AIDING	AILING TAXONOMY	_			
G3 PRODUCTS	ANALYTIC ATD ASSESSMENT	INFO PROCESSING	USEK INTERFACE	ANALYT AT I M	ANALYTIC AID TECHNIQUES AT   MM   OT   SIM   DA	TECHNIC	DA	AID DESCRIPTOR
Chemical Spt Annex	>	7	\	SEEN	SEE NBC DEFENSE ANNEX	NSE ANN	<u></u>	Target Allocation
Service Spt Annex	>-	7		ζ.	Σ	Σ	Σ	Allocate Replacement Equipment, Supplies, Troops
Task Organization Annex	>	SEE TASK (	SEE TASK ORGANIZATION UNDER OPORD	UNDER OP	ORD			
Intelligence Annex	Z	>						
Electronic Warfare Annex	<b>&gt;</b> -	7	7	۲.		Σ	ر	Optimal Friendly Employ
Road Movement Annex	λ	SEE M	SEE MUVEMENT ORDER	~				
Air Movement Annex	٨	SEE M	SEE MOVEMENT ORDER	<u>~</u>				
Operations Overlay Annex	Z	7						
Warning Order	٨				Σ		Σ	Time Analyzer
Frag Order	۸	SAME	SAME AS REQUIREMENTS AS IN UPORD AND ANNEXES	ENTS AS	IN UPUR	A OND C	NNEXES	
Movement Order	>			~· ~·	TE I	ΣΣ		Unit Movement Planner Air Movement Analyzer Air Movement Table (Army Aviation & AF)
Admin/Logistics Order	٨	SEE OPORD	- SERVICE SUPPORT	LIPPORT				
Aircraft Mission Re- quest (Army) Aviation	>			~-	<u> </u>	ΣΣ		Route Evaluation Acft Asset Analysis

been valuated bossesses assist

Table 4. (continued)

	_								
			AIDING	AIDING TAXUNOMY	YOMY				
	ANALYTIC	OFNI	USER	ANA	ANAL YTIC	ATD T	AID TECHNIOUES	Silles	
G3 PRODUCTS	ASSESSMENT	PROCESSING .	INTERFACE	AI	Æ	10	SIM	DA	AID DESCRIPTOR
Artillery Situation	>	7			=				Forecast Tube Replacement
Air Request/Task Message(Pre-Planned)	λ.	>	7	<i>د.</i>			٦	Σ	Integrate w/F.S. Plan
AUM Taryet Folder	Z	\ \	\						
Post Strike Analysis (Nuclear	>	>			==		Σ		Fallout Prediction Damage Analysis (Effects on Enemy)
Chemical Strike Warn	Α.	7			Ξ				Chem Effects Prediction
Nuclear Strike Warn	٨	7			Ξ				Nuc Effects Prediction
ECM Daily Summary	Z	\	\ \ '						
Electronic Warfare Support Measures (ECM) Report	Z	7							•
Enyineer Barrier Rpt	<b>&gt;</b>	>	\	į	Σ	L	Σ	٦	Obstacle Emplacement
Engineer Mission Coordination Sheet	٨	7		ن	ń		Σ	Σ	Allocate Resources
Engineer Trace Rpt	z	7	7						
Engineer Situation Report	z	7							
Engineer Rpt (Damage)	>	٦	7				ب	Σ	Evaluate Damage Repair Alternative
						•			

		-	Table 4. (continued)	ontinued					
			AIDING	AIDING TAXONOMY	Āk				
	ANALYTIC ATD	INFO	USER	ANALY	TIC AID	ANALYTIC AID TECHNIQUES	)UES		
63 PRODUCTS	ASSESSMENT	PROCESSING	INTERFACE	A	MM OT	AI MM OT SIM DA	M	AID DESCRIPTOR	- 1
Air Def Status Rpt	Z	7	>						
Acft Hostile Fire Rpt	N	7							
Air Defense Engage- ment Report	z	>							
Cummander's Situa- tion Rpt (SITREP)	Å	SEE P	SEE PROJECT UNIT STATUS	STATUS					
Unit Location Update	N	7	>						

Communication CM Spt Request		<i>\</i>	7						
Minefield Report	Z	\	)						
Engineer Spot Report	<b>\</b>	7			ı	Σ			Combat Effectiveness
Air Request/lask Message (Immediate)	>		>	٠-			Σ	×	Allocate CAS & REFLE
PSYREP	Z	7							
Spot PSYREP	Z	7							
Airspace Management Procedures kequest	Z	:							
ECM: Mission Request	٨	7	7	ن	Σ		Σ		Allocate Critical Assets
Intelligence Summary	Z								
NbC   (Observer's Initial Report)	z								
					•				

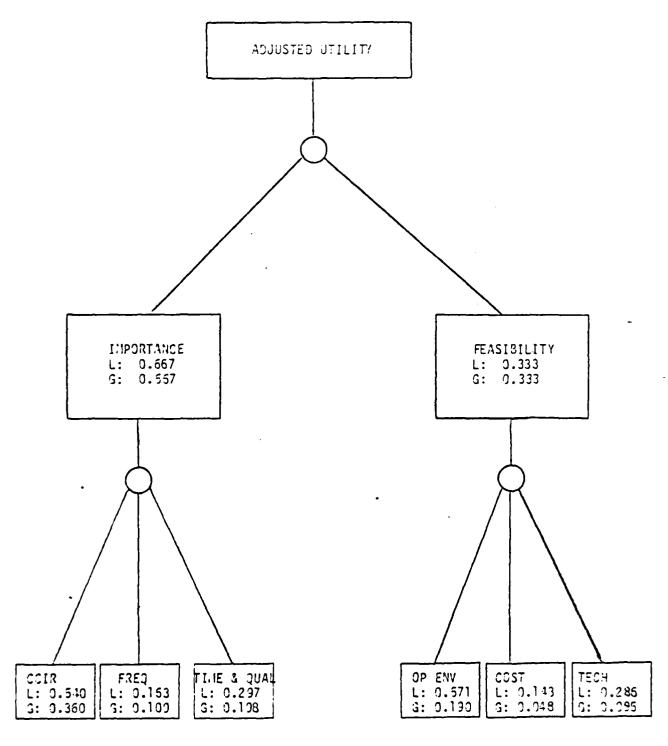
AIDING TAXOROMY Table 4. (continued)

			AIDING	AIDING TAXURUMY	٠,		
	ANALYTIC	TNE	USED	TVIANA	10 ATE 1	ANALYTIC ATE TECHNICHES	
G3 PRODUCTS	ASSESSMENT	PROCESSING	INTERFACE	AI M	MM OT	SIM DA	A TO DESCRIPTOR
NBC 2 (Evaluated Data Report	>	7	7	Σ			NBC Effects Evaluation
NEC 3 (Immediate Warning of Expected Contamination)	>	7	7	Ι		Σ	Predict Contamination (IDs Affected Unit)
NBC 5 (heport of Areas of Actual Contamination)	>	7	}	F.			Hazard Areas
NBC 6 (Detailed In- formation on Chem/ Bio Attack)	2	7					
NBC Downwind Message	Z	7					
MIJI Report	Z	7					
OPSEC Spot Report	Z	7					
Required Ammunition Supply Rate Report	>	7					Forecast Usage Rates
PSYOP Support Request	Z	7	7				
Movement Cude	>	SEE MOVEN	SEE MOVEMENT ORDER			-	
Training Plans					-		
Maintain/Update TSOP	Z	.7					
Nuclear Release Req	Z				-		
Chemical Release Req	Z						

•	_		Table 4. (co	(concluded)		Į.
			AIDIN	AIDING LAXUNUMY		
G3 PRODUCTS	ANALYTIC ATU ASSESSMENT	INF0 PROCESSING	USER INTERFACE	ANAL YTIC AT MM	ANALYTIC AID TECHNIOIJES AI   MM   OT   SIM   DA	S AID DESCRIPTOR
Mission Analysis	Z	7	7			
Operations Estimate	٨	7	)	P H OP OR O AND	PEI  PEI  PEI  POUND ANNEXES ATDS SUPPORT	Relative Combat Power Compare Courses of Action SUPPORT THIS PRODUCT
Directed Staft Estimates	i					
Brietings	\$					
Maintain the current Situation	į					
Project Unit Status	<b>,</b>	7	)	=		Forecast Unit Status
Project Critical Shortages	٨	SEE PRO.	SEE PROJECT UNIT STATUS	ATUS		
Maintain Staff Journal	Z					
Allocate/Prioritize Personnel, Materiel anu Units	>-	)		ć.	N M	Assign Critical Replacement Units, Personnel and Material
Maintain the Troop List	Z					
Exchange Information	Ð	7	7			

DESCRIPTOR	PRODUCT SUPPORTED	BRIEF DE SCRIPTION
Task Organization	OPORD (Task Organization)	This aid is designed to organize combat and combat support units for combat based on mission, terrain, unit status, etc.
Terrain Management	OPORD (Execution)	This aid is designed to assign units to terrain.
Force Movement Analyzer	OPORD (Execution)	This aid is designed to investigate force movement alternatives and time required for force movement.
Basic Load	OPORD (Service Support)	This aid is designed to determine basic load based on ammunition availability, intensity of conflict, and resupply.
Controlled Supply Rate (CSR)	OPORD (Service Support)	Inis aid is designed to analyze ammunition expenditure rates and, where required, recommend control rate restrictions.
Replacement Priorities	OPORD (Service Support)	Inis aid is designed to assign replacement priorities based on mission, strength, and location.
Fuel Consumption Rates	OPORD (Service Support)	This aid is designed to determine fuel requirements based on type vehicles, mission, terrain, weather, etc.

Figure 6. Sample brief description of potential analytic aids



# LEGEND:

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- L: Local utility weighting for criteria/subcriteria.
- G: Global utility weighting for criteria/subcriteria.

Figure 7. Hierarchy, local and global weights

Table 5. Analytic aiging opportunities (adjusted rank order) (continued on following pages)

AID DESCRIPTOR	AID #CI	ADJUSTED RANK	RAW RANK	ABSOLUTE RANK DIFF	ADJUSTED IMPORTANCE RANK	ADJUSTED FEASIBILITY RANK
Unit Movement Planner	3-51	1	1	0	. 1	12
Force Movement Analyzer	3-24	2	3	}	2	11
Air Movement Analyzer	3-04	3	4	1	4	19
Fuel Consumption Rates	3-26	4	5	I	7	10
Air Movement Planner	3-05	-5	2	3	13	3
Assign Critical Replace-	3-08	6	6	0	11	13
ment Units, Personnel, and Materiel						
Terrain Management 3-46	7	12	5	10	29	
Denial Preparation 3-19	8	22	14	12	30	
Time Analyzer 3-47 9	7	2	23	4		
Pre-Position Decontamina- tion Supplies	3-18	10	17	7	15	27
Compare Alternate Courses of Action	3-13	11	42	31	3	49
Obstacle Preparation	3-31	12	14	2	17	28
Predict Contamination (ID Affected Units)	3-39	13	9	4	36	2
Forecast Unit Status	3-52	14	<b>3</b> 0	16	6	40
Chemical Effects 3-20	15	18	3	23	18	
Prediction						
Expenditure Rates (FS)	3-22	16	11	5	33	6
Basic Load Allocations	3-10	17	8	9	42	1
Nuclear Effects Prediction	3-21	18	16	2	30	15
Aircraft Asset Analyzer	3-02	19	10	9	26	21
Priorities of Fire (FS)	3-40	20	24	4	18	32
Priorities/Allocation	3-38	21	33	12	9	43
(ADA)						
Rear Area Protection	3-41	22	39	17	8	45
Capadilities						

Table 5. Analytic aiding apportunities (augusted mank order) (continued)

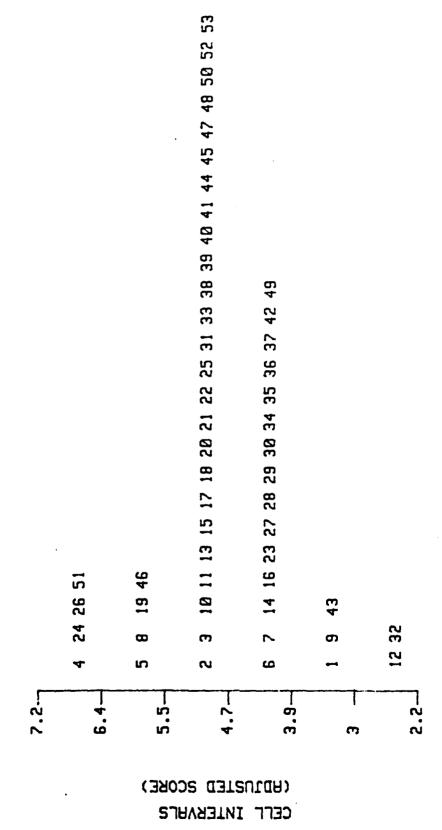
				`		
AID DESCRIPTOR	AID	ADJUSTED	ŘÁW	ABSOLUTE RANK	ADJUSTED IMPORTANCE	ADJUSTED FEASIBILITY
AID DESCRIPTOR	ID#	RANK	RANK	DIFF	RANK	RANK .
Troop Exposure (NBC)	3-50	23	19	4	35	17
Evaluate Damage Repair Alternatives	3-17	24	27	3	21	31
Forecast Tube Replace- ment (FS)	3-25	25	13	12	39	9
Forecast Usage Rates (RSR)	3-53	26	23	3	32	23
Allocate CAS and RECCE	3-11	27	23	1	31	25
Controlled Supply Rate (CSR)	3-15	23	13	15	43	7
Route Evaluation (AVN)	3-44	29	35	6	5	<b>5</b> 2
ADM Employment	3-33	30	29	1	38	20
Task Organization	3-45	31	31	0	22	34
Target Allocation (Cnewical)	3-48	32	25	7	40	16
Aircraft Requirements	3-03	<b>33</b> ·	32	1	25	35
Prescribed Nuclear Load (PNL)	3-37	34*	26	8	37	22
Prescribed Cnemical Load (PCL)	3-36	34*	26	8	37	22
Optimal Friendly Employ- ment (EW)	3-34	35	36	1	14	44
Organize for Compat (FS)	3-35	3ó	37	1	24	36
Allocate Engineer Re- sources	3-07	37	15	22	41	26

<sup>\*</sup> Ties were allowed for ranks. PCL and PNL had a tie for all scoring schemes. Therefore, the adjusted ranks ranged from 1-52 for a total of 53 aiding opportunities.

Table 5. Analytic aiding opportunities (adjusted rank order) (concluded)

AID DESCRIPTOR	AID ID#	ADJUSTED RANK	RAW RANK	ABSOLUTE RANK DIFF	ADJUSTED IMPORTANCE RANK	ADJUSTED FEASIBILITY RANK
Target Susceptibility (NBC)	3-49	38	34	4 .	27	37
Fallout Prediction (Nuclear)	3-23	39	21	18	48	8
Hazard Areas (NBC)	3-27	40	20	20	45	14
Allocate Keplacements	3-06	41	43	2	16	48
Obstacle Emplacement Plan	3-30	42	41	1	29	39
Integrate CAS (FS)	3-28	43	44	1	20	42
Relative Compat Power	3-42	44	45	1	34	38
Control Procedure (A2C2)	3-14	45	46	1	19	51
NBC Effects Evaluation	3-29	40	3გ	8	47	24
Post-Strike Analysis (Nuclear)	3-16	47	31	16	50	5
Determine Replacement	3-43	48	47	1	44	. 41
Priorities						
Allocate Critical Assets (ECM)	3-01	49	40	9	51	33
Assign PSYOP Assets	3-09	50	49	1	46	47
Obstacle Effectiveness	3-12	51	48	3	49	46
PSYUP Effectiveness	3-32	52*	50	2	52	50

<sup>\*</sup> Ties were allowed for ranks. PCL and PNL nad a tie for all scoring schemes. Therefore, the adjusted ranks ranged from 1-52 for a total of 53 aiding opportunities.



LEAF PLOT OF ADJUSTED SCORES (EQUAL CELLS),

Figure 8.

(Numbers shown are aid identification numbers)

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SOCIAL PROSECULA SESSESSI INSTITUTO AVERAGOS. ISSISSOS. ISSISSES INVESTOS SESSESSIS SESSIO SESSESSIS SESSIO SESSESSIS SESSESSIS SESSESSIS SESSESSIS SESSESSIS SESSIO SESSIO SESSESSIS SESSESSIS SESSESSIS SESSIO SESSESSIS SESSIO SESSIO

- f. <u>Sensitivity analysis</u>. A limited sensitivity analysis was performed to examine the relationships between adjusted scores, raw scores, scores based solely on feasibility, and scores based solely on importance. Graphical analysis was the primary technique employed to investigate sensitivity. The graphs are explained in detail in appendix I. A summary is provided in the following subparagraphs.
- (1) Comparison of leaf plots. Five analytic aids consistently scored in the top two cells across all scoring schemes. The specific aiding opportunities are: Air Movement Analyzer, Fuel Consumption Rates, Assign Critical Replacements, Unit Movement Planner, and Force Movement Analyzer. These aids were robust across all scoring schemes. The methodology embedded a sensitivity data collection scheme. The raw scores reflect an equal weighting of utilities across subcriteria, while the adjusted scores reflect the net effect of the pairwise comparison of subcriteria and criteria. Further decomposition of scores in terms of feasibility and importance clarified their net effects on adjusted scores. Additional variation of scores was not feasible nor necessary based on the results of the initial investigation.
- (2) Comparison of scatter plots. Graphical techniques were also employed to examine the relationships between adjusted, raw, importance, and feasibility ranks. Figure 9 shows a scatter plot comparison of adjusted ranks and raw ranks. This figure shows that the top four aids were dominant (low rank) for both raw and adjusted ranking procedures. Further, the bottom five aids were consistently inferior. However, in the rank interval 5-47 there was a great amount of variability between raw and adjusted ranks. Additional scatter plots are in appendix I.
- (3) Conclusions from sensitivity analysis. Of the top 20 aids, based on adjusted score, six consistently ranked in the top 20 over all scoring/ranking schemes. Aids in the midrange (approximately 10-40) are highly sensitive to the effects of alternative subcriteria weights.
- 6. DISCUSSION. The following subparagraphs summarize the analysis and highlight the key constraints which limit the extension of the analysis to other related command and control development activities.

## a. Limitations.

- (1) The analysis was based on the best available doctrinal literature and references documenting aiding technologies. However, a corps headquarters ARTEP has not been developed and the division headquarters ARTEP is under revision. The Combined Arms Training Activity (CATA) is working to standardize the critical tasks at division and corps, but a doctrinally approved and operationally validated consolidated list does not currently exist. In many cases, substantial additional research, experimentation, and field observation will be required prior to development of specific automated aids which will actually improve performance.
- (2) Though analytic techniques were decomposed in the targeting process, it is possible that a combination of analytic techniques may be embedded in a single aid.
- (3) The possibility exists that analytic aids will evolve as the automated environment and the literacy of automation users mature. In this event, an application which initially employs math model techniques might later be revised to use artificial intelligence techniques.

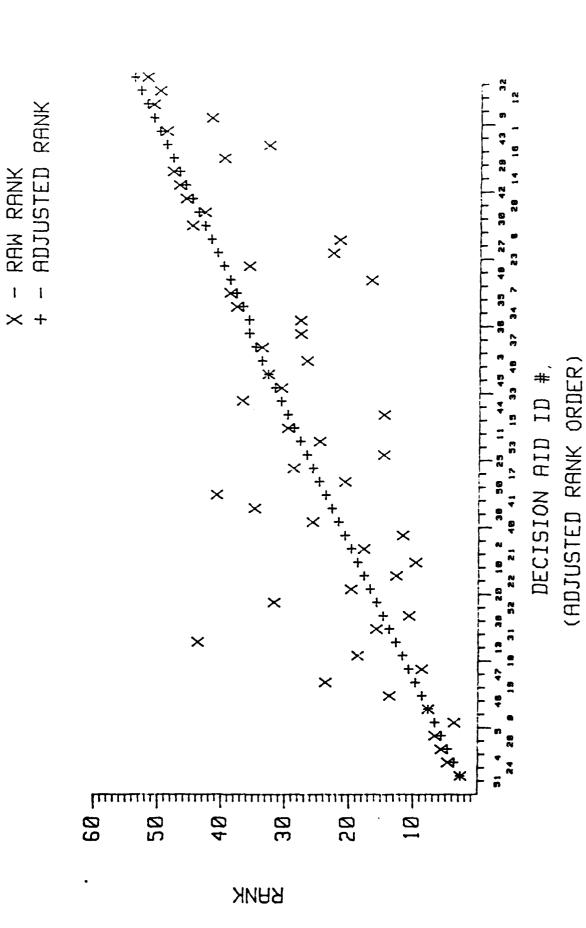


Figure 9. Raw rank vs. adjusted rank scatter plot

(4) There may be a precedent relationship between candidate aids. IBM's <u>Information Systems Planning Guide</u> recommends that the initial application development project span the most tasks to facilitate follow-on application development. The IBM concept suggests a different prioritization methodology. In the event a formal program is established to develop applications, the IBM approach deserves consideration but was not feasible in the initial constrained analysis of G3 Main tasks.

# b. Analysis summary.

- (1) Seven major functions of the G3 and 43 G3 Main critical tasks were identified. Decomposition of critical tasks facilitated identification of aiding opportunities. A single comprehensive, doctrinally approved and operationally validated list of G3 critical tasks does not currently exist. Focused, structured observation and experimentation may nelp to further define G3 critical tasks.
- (2) Fifty-nine G3 Main products were identified. G3 tasks were mapped to the products which they support to clarify opportunities for aiding. As with G3 tasks, a single comprehensive, doctrinally approved and operationally validated list of G3 products does not currently exist.
- (3) A classification scheme (taxonomy) for aiding technologies was developed and elaborated. The taxonomy facilitated targeting of appropriate technologies to aiding opportunities identified during the detailed task and product analysis. The taxonomy is adequate as a first-order technique for assessment of potential technology solutions to command and control deficiencies.
- (4) Fifty-three different G3 Main analytic aiding opportunities were identified which have potential to support the development of specific G3 products.
- (5) A methodology for prioritizing analytic aiding opportunities was developed. The methodology employed a hierarchical model to assess the relative importance and feasibility of each aiding opportunity. The methodology was consistently applied to the G3 analytic aiding opportunities to generate a recommended priority list for aid development.
- (6) Several aiding opportunities were dominant for all scoring scnemes and several were clearly inferior across all scoring scnemes. Dominant aiding opportunities were Air Movement Analyzer, Assign Critical Replacements, Force Movement Analyzer, Fuel Consumption Rates, and Unit Movement Planner.
- 7. CONCLUSIONS. The following conclusions resulted from the G3 analysis.
  - a. The objectives of the G3 analysis were accomplished.
- b. Specific opportunities for aiding the performance of the 63 during tactical operations were identified and appropriate aiding technologies were targeted.
  - c. Fifty-three distinct G3 analytic aiding opportunities were identified.

- d. Criteria or importance and feasibility provide a rational basis for prioritizing analytic aid development. The two primary criteria can be further decomposed to facilitate qualitative assessment of relevant factors such as development cost, training, frequency of product development, and potential time savings.
- e. A recommended priority for development of G3 analytic aids was compiled based on the above criteria using a hierarchical prioritization structure. The five aids which consistently ranked at the top of the priority list are Air Movement Analyzer, Assign Critical Replacements, Force Movement Analyzer, Fuel Consumption Rates, and Unit Movement Planner.
- f. Additional research, field observation, and experimentation are required to define and standardize 63 tasks and products.
- g. The methodology employed to analyze the G3 Main may be applied to other functional areas to identify and prioritize development of automated aids.
- n. The prioritized list of G3 analytic aiding opportunities provides a rational basis for focused development of decision aid prototypes.

### 8. RECOMMENDATIONS.

- a. That the G3 analysis be approved as an accurate, comprehensive study which identifies and prioritizes G3 aiding opportunities based on current doctrine.
- b. That the G3 analysis be presented to the Combat Developer (C3I, CACDÁ) to assist in focused development of decision aids for the Maneuver Control System (MCS).
- c. That G3 Main products and tasks be standardized to enable efficient training of high-performing staffs and to facilitate rapid, successful transition from a manual to an automated U.S. Army Tactical Command and Control System.
- d. That the analytic methodology described in this report be approved as an appropriate methodology for identifying aiding opportunities in battlefield functional areas.
- e. That increased emphasis be placed on analysis of the command and control process through observation and experimentation to define the process and to improve procedures within the process.

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